1	Superspreading of SARS-CoV-2: a systematic review and meta-analysis of event attack
2	rates and individual transmission patterns
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# 21 SUMMARY

22	SARS-CoV-2 superspreading occurs when transmission is highly efficient and/or an
23	individual infects many others, contributing to rapid spread. To better quantify heterogeneity in
24	SARS-CoV-2 transmission, particularly superspreading, we performed a systematic review of
25	transmission events with data on secondary attack rates or contact tracing of individual index
26	cases published before September 2021, prior to emergence of variants of concern and
27	widespread vaccination. We reviewed 592 distinct events and 9,883 index cases from 491
28	papers. Meta-analysis of secondary attack rates identified substantial heterogeneity across 12
29	chosen event types/settings, with the highest transmission (25–35%) in co-living situations
30	including households, nursing homes, and other congregate housing. Among index cases, 67%
31	produced zero secondary cases and only 3% (287) infected >5 secondary cases
32	("superspreaders"). Index case demographic data was limited, with only 55% of individuals
33	reporting age, sex, symptoms, real-time PCR cycle threshold values, or total contacts. With the
34	data available, we identified a higher percentage of superspreaders among symptomatic
35	individuals, individuals aged 49-64 years, and individuals with over 100 total contacts.
36	Addressing gaps in reporting on transmission events and contact tracing in the literature is
37	needed to properly explain heterogeneity in transmission and facilitate control efforts for SARS-
38	CoV-2 and other infections.
30	

39

### 40 **KEYWORDS**

41 coronavirus; COVID-19; transmission; heterogeneity; infectious disease epidemiology
42

# 43 **INTRODUCTION**

44	Following the emergence of SARS-CoV-2 in late 2019, the virus spread worldwide,
45	resulting in the coronavirus disease (COVID-19) pandemic [1]. Understanding drivers of SARS-
46	CoV-2 transmission was crucial for formulating control measures, especially prior to the
47	development of vaccines. Early in the pandemic, heterogeneity in transmission, particularly
48	superspreading, was investigated because of its ability to cause large outbreaks [2-4].
49	Superspreading involves two distinct but non-mutually exclusive phenomena: a setting where
50	many people become infected due to an environment conducive to transmission (e.g., crowded
51	indoor settings), and individuals who are outliers in the number of secondary cases they infect,
52	due to biological heterogeneity in infectiousness and/or engagement in high-risk behaviors [5,6].
53	Superspreading has been observed in several other viral infections, including SARS-CoV,
54	MERS-CoV, Nipah, Ebola, and measles [7–12]. With SARS-CoV-2, both forms of
55	superspreading garnered considerable attention in the literature. For example, over 140
56	individuals were infected during a Christmas event in Belgium in December 2020, causing over
57	26 deaths [13]. Likewise, one individual infected dozens of people during a choir practice in
58	Washington, USA, in March 2020 [14].
59	Because superspreading events contributed substantially to local and global SARS-CoV-
60	2 transmission [15], public health interventions were enacted to reduce their risk of occurrence.
61	These interventions included school closures, limitations on indoor gatherings, and restrictions
62	on visiting hospitalized patients or long-term care facilities. Many of these policies were based
63	on limited data from early in the pandemic. Moreover, published systematic reviews and
64	modeling of SARS-CoV-2 superspreading from this period were limited in scope and did little to

65 disaggregate this phenomenon into the distinct contributions of environment and individual

66 characteristics. For example, studies of setting-specific transmission rates have focused on 67 household and healthcare transmission or geographic and temporal trends [2,16–19], but did not 68 address transmission heterogeneity across other social settings. Previous meta-analyses of 69 individual-level superspreading included only a small number of papers (<26) that calculated overdispersion in transmission, missing the majority of published transmission trees and 70 71 capturing data primarily from Asia [7,8]. Early investigations of individual-level characteristics 72 related to superspreading were also limited by incomplete contact tracing [20,21] and a focus on 73 clinical over demographic characteristics [20]. A more complete summary of superspreading is 74 needed to understand the scale of transmission heterogeneity across settings and identify causes 75 of individual heterogeneity. 76 The objective of this review was to summarize global heterogeneity in SARS-CoV-2 77 transmission events prior to widespread vaccination and the role of environmental and individual 78 factors in superspreading. Specifically, this review aimed to identify: 1) the amount of variation 79 in attack rates across studies and events, 2) which settings had the highest attack rates, 3) the

80 individual offspring distribution for SARS-CoV-2, and 4) the characteristics of superspreading
81 individuals.

82

#### 83 **METHODS**

#### 84 Literature search and data extraction

We conducted this systematic review and meta-analysis according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) 2020 statement [22]; see Appendix 1 for the PRISMA checklist. We included all studies of SARS-CoV-2 that contained data on: 1) transmission chains; 2) numbers of index cases, contacts, and infected contacts; 3)

89 numbers of index cases and infected contacts; or 4) secondary attack rates, i.e., number of 90 infected contacts divided by number of contacts. We excluded studies that were not about 91 humans. A clinical informationist searched PubMed, the WHO COVID database, the I Love 92 Evidence COVID database, and Embase on 9 September 2021. No restrictions on language or start date were applied. Results were imported into EndNote X9 (Clarivate, London, UK) where 93 94 duplicates with exact matches in the author, year, and title fields were removed. Team members 95 screened titles and abstracts and performed full text review in Covidence (Veritas Health 96 Innovation, Melbourne, Australia).

97 We extracted data using a pre-designed, study-specific spreadsheet, collecting 98 information on paper metadata and target variables for two outcomes: transmission events and 99 individual index cases (Table 1). Events were defined as discrete transmission events where 100 secondary attack rates for defined groups of people could be calculated as the number of infected 101 cases divided by the total number of exposed individuals. This definition of secondary attack 102 rates includes both clinical and subclinical infections in some studies. Due to the limited details 103 published in the literature, we did not attempt to distinguish events associated with individual 104 transmission chains from a single source (potentially with confirmatory sequencing data) from 105 events that aggregated multiple transmission chains together. In lieu of this distinction, we 106 separated events into different settings and by the duration of the event (i.e., exposure window, 107 in days) reported in each paper. Twelve event types were chosen to classify each event/setting 108 described in a paper (Table 2). To describe individual contributions to transmission, we extracted 109 data on index cases for whom contacts were followed to identify secondary transmission. We 110 only entered data from papers where it was clear from the methods that contact tracing was done 111 for at least one week to capture secondary transmission from individual index cases. For studies

112	that did not report SARS-CoV-2 variants, we imputed the dominant variant from CoVariants
113	data for the country and time period of interest [23]. See the Supplementary Material for
114	additional details on the identification of papers, data extraction (Supplementary Tables S2–S3),
115	and assessment of study bias.

116

#### 117 Statistical analyses

118 To characterize the type and quality of information that we were able to extract about 119 transmission events, we performed a descriptive analysis of event data including the number of 120 each chosen event type, starting year of the data, focal countries, diagnostic methods, event 121 duration, and level of missingness for all variables. Because not all individuals potentially 122 exposed during an event were tested in each study, secondary attack rates for individual events 123 were calculated separately using the total number of exposed individuals or the total number 124 tested. If either of these quantities were missing, the value was imputed based on the value 125 present (i.e., assuming the number tested was equal to the number exposed or vice versa). 126 Sensitivity of results to this choice of denominator was assessed in the meta-analysis of events 127 (see Supplementary Material).

To describe the amount of variation in attack rates across studies and events and to identify which settings had the highest SARS-CoV-2 attack rates, a meta-analysis was performed on secondary attack rates across event types using the *metafor* package in R v4.2.2 [24]. We converted secondary attack rates for each event to Freeman-Tukey double arcsine transformed proportions [25] and calculated the sampling variance. We fit a hierarchical model with a nested random effect for event within study and no fixed effects to assess the heterogeneity in secondary attack rates attributable to these factors using restricted maximum likelihood. We

calculated  $I^2$ , the percentage of variance attributable to true heterogeneity, for each random effect 135 136 [26] and used Cochran's Q test to test if estimated heterogeneity in secondary attack rates was 137 greater than expected from the sampling error alone. We then fit additional mixed-effects models 138 that included the same random effects but also event type and event duration as fixed effects. 139 Cochran's Q was performed on these model to assess whether residual heterogeneity in 140 secondary attack rates was greater than expected after accounting for sampling error and fixed 141 effects. Fitted coefficients and 95% confidence intervals (CI) from meta-analysis were back-142 transformed to proportions using the geometric mean of the tested individuals across all studies 143 in each event type [25]. These back-transformed proportions are referred to as "meta-analysis 144 estimated secondary attack rates" or "meta-analysis estimated mean attack rates" in the text and 145 figures. For comparison with meta-analysis estimates, we also calculated the median secondary 146 attack rate and interguartile range across events for each chosen event type. 147 To characterize the individual offspring distribution for SARS-CoV-2, the overall 148 distribution of secondary cases generated by each identified index cases was fit to a negative 149 binomial distribution, following Lloyd-Smith et al. [11]. We estimated the percentile of index 150 cases producing 80% of all secondary infections using a formula and code from Endo et al. [27]. 151 Our last aim for the study was to identify recognizable characteristics of superspreading 152 individuals. Based on the availability of demographic characteristics and other features of index 153 cases in the literature, we examined differences in distributions of secondary cases produced by 154 index cases according to sex, presence/absence of symptoms, age, real-time PCR cycle threshold 155 (Ct) value, and the total number of contacts each index case had. Additional statistical tests 156 compared these listed factors between "superspreaders" (index cases with >5 secondary cases, 157 following Adam et al. [3]) and "non-superspreaders" (index cases with  $\leq$ 5 secondary cases): Chi-

158	square tests of proportions to compare the proportion of women, the proportion of symptomatic
159	cases, and proportion of adults or across age bins; Student's t-tests to compare mean age and Ct
160	value; and a Kruskal-Wallis test to compare the highly skewed distributions of total contacts
161	among index cases. All statistical tests used $\alpha = 0.05$ as the statistical significance threshold to
162	identify whether superspreaders were overrepresented among certain demographic groups.
163	
164	RESULTS
165	Study selection
166	We identified 13,632 articles from the four databases searched, representing 8,339 unique
167	references (Figure 1). Of these, we excluded 7,358 records during the abstract review. For the
168	981 records that underwent full text review, we excluded 384 records that were reviews or letters
169	to the editor without data, contained no data on our variables of interest, or were duplicate
170	records (preprints, true duplicates, or duplicated datasets). A total of 598 papers were assessed
171	for eligibility for data extraction and a further 107 papers were excluded that did not contain
172	sufficient data on our outcome variables of interest or were duplicates (Figure 1). We extracted
173	data from 491 studies: 232 studies provided event data only, 195 studies provided individual
174	index case data only, and 64 studies provided both data types, yielding evidence from 592
175	distinct events and 9,883 index cases. The 491 analyzed studies were from 67 countries, with
176	most from China (26%), the USA (17%), and South Korea (5%) (Supplementary Figure S1A).
177	Although our search included two-thirds of 2021, nearly all studies covered data from 2020
178	(94% of events, 99% of index case symptom onset or positive test dates).
179	

# 180 Characteristics of events

181 Descriptive analyses were used to characterize the type and quality of information about 182 transmission events present in the literature. Event data were most commonly from the USA 183 (27%), China (15%), the UK (8%), and South Korea (6%) (Supplementary Figure S1B). 184 Published papers were missing information on many variables that we aimed to extract about 185 events (Supplementary Figure S2A). Of the 46 target data fields from articles about events, 17 186 had high data completeness (>80%), including those for the study and event metadata, event 187 description, time period of the event (describing the start and end dates of exposure), location of 188 the event (country and state/province or city), and number of exposed individuals and secondary 189 cases (Supplementary Table S3). Event durations were highly skewed, with a median duration of 190 34 days and an interquartile range of 13–60 days (Supplementary Figure S3). Studies used a 191 variety of diagnostic methods to identify SARS-CoV-2 cases, though PCR was the dominant 192 method (Supplementary Figure S4A). Other approaches included antigen tests, retrospective case 193 identification by serology, diagnosis via symptoms or chest tomography in early papers, or a 194 mixture of approaches. Because most studies covered events prior to emergence of variants, most 195 events (N = 532, 90%) likely involved only wild-type/ancestral SARS-CoV-2, while 14 events 196 involved Alpha, six Beta, eight Delta, and 31 likely included a mixture of variants (e.g., during 197 periods of variant emergence and replacement of the dominant variant).

198

### 199 Heterogeneity in event secondary attack rates

200 Meta-analysis of secondary attack rates was performed to describe variation in attack 201 rates across studies and events and to identify which settings had the highest attack rates.

202 Secondary attack rates varied substantially within and among event types (Figure 2).

203 Interquartile ranges of attack rates were lower for transport (0–11%), hospital/healthcare (1–

204 20%), and mixed events (3–12%), whereas congregate housing (9–63%), households (15–60%), 205 social venues (8-53%), and cruise ships (9-41%) had higher heterogeneity, with some events 206 reporting attack rates of 100% (Table 2). Meta-analysis of secondary attack rates including a 207 nested random effect for event within study detected significant heterogeneity in secondary attack rates ( $I^2 = 99\%$ , Cochran's  $Q_{E.591} = 141,765$ , P < 0.0001). The random effect for study 208 accounted for most of the heterogeneity ( $I_{study}^2 = 58\%$ ), followed by event nested within study 209 210  $(I_{event}^2 = 41\%)$ . Addition of a fixed effect for event type to the model indicated that secondary 211 attack rates varied significantly across event types (Cochran's  $Q_{M,11} = 122, P < 0.0001$ ). Meta-212 analysis estimated mean attack rates were lowest for shopping (0%), hospitals and healthcare 213 (6%), transportation other than cruise ships (9%), and schools (11%) (Figure 2). Comparatively, 214 estimated mean attack rates were two to three times higher (25–35%) in nursing homes, cruise ships, households, and other congregate housing settings (e.g., homeless shelters, prisons). 215 216 Models including event duration and an interaction term between event type and event duration 217 as additional fixed effects found similar levels of heterogeneity (Cochran's  $Q_{M,23} = 135$ , P < 135218 0.0001) and identified a common trend of decreasing attack rates with longer event durations 219 across different event types, with the exception of cruise ships and shopping (Supplementary 220 Figure S5).

221

#### 222 Characteristics of individual index cases

223 Descriptive analyses were also used to characterize the type and quality of information 224 about individual index cases found in published studies. Individual index case data with 225 offspring distributions overwhelmingly came from China (36%) and India (35%) 226 (Supplementary Figure S1C). Index case data exhibited higher missingness compared to events

227 (Supplementary Figure S2B): of the 74 data fields that we extracted for individual index cases, 228 the highest completeness (>60%) was seen for study and index case numbers, location of the 229 index case (country and state/province or city), total number of contacts infected, method of 230 testing for the index case and contacts, and SARS-CoV-2 variant (Supplementary Table S4). We 231 identified five key characteristics of index cases that could be related to superspreading, though 232 most of these were also missing from the published literature: 46% of cases included data on age, 233 48% on sex, 10% on presence/absence of symptoms, 6% on total number of contacts, and only 234 2% had Ct values reported. A total of 5,437 index cases (55%) contained data on at least one of 235 these five variables. Diagnostic methods for identification of individual index cases and their 236 associated secondary cases were only reported in 61% of cases, with PCR as the primary 237 approach (Supplementary Figure S4B,C). The majority of index cases (N = 8,565, 87%) were 238 assumed to be infected with wild-type SARS-CoV-2 based on location and timing of the study or 239 test confirmation date. A mixture of variants was likely in 1,282 cases (13%), while one index 240 case was reported with Alpha, two Beta, 11 Delta, and 22 Epsilon. 241 242 Heterogeneity in transmission across individual index cases 243 A third goal of this analysis was to describe the individual offspring distribution for 244 SARS-CoV-2 based on reported index cases. Most index cases (67%) did not transmit SARS-245 CoV-2 to another person and 17% transmitted to only one other individual (Figure 3). There 246 were 287 "superspreaders" with >5 contacts infected, representing 3% of index cases from the 247 included studies. The distribution of secondary infections fit a negative binomial distribution 248 with a mean of 0.88 (CI: 0.84-0.92) and a dispersion parameter k of 0.27 (CI: 0.25-0.28). Using

the formula from Endo et al. [27] and the estimated mean and k for the negative binomial

distribution, the top 17% most infectious index cases would be expected to generate 80% of allsecondary cases.

252

### 253 Qualities of superspreaders

254 Finally, our analysis sought to identify qualities of index cases that were associated with 255 being a superspreader (index cases with >5 secondary cases) compared to non-superspreaders 256 (Table 3). The proportion of index cases with reported symptoms was higher in superspreaders (89%) than non-superspreaders (76%;  $\chi^2_1 = 5.4$ , P = 0.02). Superspreaders had more than two 257 times the mean number of contacts (79) compared to non-superspreaders (36;  $\gamma_1^2 = 56.6$ , P < 258 259 0.0001). Adults also made up a greater proportion of superspreaders (99%) than nonsuperspreaders (84%;  $\gamma_1^2 = 14.1$ , P < 0.0001). Index cases over 25 years of age were 260 261 overrepresented among superspreaders and no superspreaders 12 years of age and under were 262 reported (Figure 4). When age was analyzed as a continuous variable, the number of contacts 263 infected and the frequency of superspreaders increased with age, up to around 60 years of age 264 (Supplementary Figure S6). No significant differences by sex or Ct values were observed (Table 265 3). However, two adult male index cases produced the highest number of secondary infections, 266 infecting 81 of their 104 contacts and 101 of their 300 contacts, respectively. The former was a 267 lecturer in Tonghua, China [28] and the latter a fitness instructor in Hong Kong, China [29]. 268 Symptomatic cases had a higher mean number of infected contacts (2.1) compared to 269 asymptomatic cases (0.7) (Table 4). The dispersion parameter k was higher for symptomatic 270 cases than asymptomatic cases (0.43 vs. 0.11), indicating lower variance in the number of 271 secondary cases produced by a symptomatic case. This variance is exemplified by the lower 272 percentage of non-transmitters (44%) and higher percentage of superspreaders (9%) among

273	symptomatic cases compared to asymptomatic cases (79% and 4%, respectively). Compared to
274	other age groups, individuals aged 49-64 years had the highest mean number of infected contacts
275	(1.2), lower variance (higher $k$ , 0.43), and a higher percentage of superspreaders (3%). Data on
276	total reported contacts showed a different pattern, with a higher mean number of infected
277	contacts (8) as well as higher variance (lower $k$ , 0.28) among index cases with >100 total
278	contacts compared to individuals with fewer contacts. This was accompanied by a substantially
279	higher percentage of superspreaders (28%) among individuals with >100 total contacts compared
280	to individuals with 11–100 contacts (19%) or those with 0–10 contacts (2%). Considering only
281	symptomatic adults with a known number of total contacts ( $N = 129$ ), the percentage of
282	superspreaders was consistently smaller as the number of contacts decreased: 26% (5/19) for
283	individuals with over 100 contacts, 24% (8/34) for those with 21–100 contacts, 8% (2/24) for
284	those with 11–20 contacts, and 0% for those with 10 or fewer contacts (0/52).

285

#### 286 **DISCUSSION**

287 In this systematic review and meta-analysis, we aimed to characterize the heterogenetity 288 in SARS-CoV-2 transmission among different settings and across individuals that has been 289 reported in published studies. Regarding transmission settings, our meta-analysis identified 290 substantial heterogeneity in attack rates across 12 chosen event types, with higher mean attack 291 rates in nursing homes, cruise ships, households, and other congregate housing settings 292 compared to shopping, hospitals and healthcare, other transportation, and schools. Regarding 293 individual transmission heterogeneity, we found that most cases did not transmit to another 294 person and that a small proportion (3%) of individuals were superspreaders (causing >5 295 secondary cases). While data on the demographics of index cases were not consistently reported

in the literature, the data that were available indicate that superspreaders were more likely to be

- 297 symptomatic than non-superspreaders, more likely to be adults (with particular
- 298 overrepresentation in the 49-64 age group), and had more total contacts.

299 Our ranking of event types by attack rate reinforces our existing understanding of SARS-

300 CoV-2, that transmission is more likely in dense indoor gatherings or close and frequent contact

among co-living individuals, especially in households [15]. Published meta-analyses covering

the early pandemic (pre-2021) estimated pooled household secondary attack rates of 17–21%

303 [16,18,19,30,31], with household attack rates consistently higher than those in healthcare, work,

304 or travel settings [16,19]. Our pooled household secondary attack rate over 115 events was 29%,

higher than these earlier studies but similar to the 31% estimate from Madewell et al. [18] for

306 studies covering July 2020 to March 2021. The higher value may be explained by the emergence

307 of the Alpha and Delta variants and the larger second and third waves of the pandemic occurring

in some countries during 2021.

309 The literature on SARS-CoV-2 transmission events rarely reported on the 310 epidemiological context and characteristics of different populations exposed, which could help 311 explain variation in attack rates. While the timing and location of events may help to explain 312 some of the variation within event types, the remaining variation could depend on event duration 313 (as shown by Supplementary Figure S5) and time spent indoors, types of activities occurring 314 (e.g., exercise, singing) [32,33], and the age groups present at the event. For example, the age of 315 individuals interacting in these contexts appears to also influence propensity for transmission, as 316 evidenced by the large difference in attack rates within schools versus nursing homes. Children 317 and adolescents are frequently found to have lower household infection risk than working age 318 adults [18,19,21,31] and older adults have higher risk of infection and severe disease than

319 younger ages [18,31]. In studies that assessed transmission among school-aged children, 320 teachers, and their household contacts, attack rates among children at school were lower than 321 among teachers and the household contacts of children and teachers [34,35]. Variation in the 322 stringency of interventions (e.g. masking requirements, physical distancing, lockdowns) across 323 countries and over time also could have affected attack rates across different settings. As shown 324 in Supplementary Figure S6 comparing attack rates for events in the United States and China, 325 two locations where differing stringency of control measures were implemented, meta-analysis 326 estimated attack rates were lower across event types for China, though the largest differences 327 between countries were observed for transmission in social venues and mixed settings. 328 Environmental factors such as humidity, room size, ventilation, and air flow [5] could also 329 augment transmission across settings but these were very rarely reported in the literature. 330 Analysis of index case demographics also highlighted age as an important factor in 331 SARS-CoV-2 transmission and superspreading. While age was only reported in 46% of index 332 cases, nearly all superspreading individuals were adults and there were no reported 333 superspreaders 12 years of age and under, which is consistent with other reviews of SARS-CoV-334 2 superspreading [36]. Individual and age-related heterogeneity in the amount and assortative 335 patterns of social contacts likely influence superspreading as well. Evidence supports lower 336 transmission from children compared to adults, but effect sizes have been small in some studies 337 [16,21,30,37]. Remaining heterogeneity in individual infectiousness may derive from differences 338 in genetic susceptibility [38,39], body size (accounting for age) [40], baseline lung volume and 339 function [41], immunocompromising disease or co-infection [42,43], or the loudness and wetness 340 of speech [32]. The relative importance of these characteristics to SARS-CoV-2 transmission at a 341 population level are unknown and may be challenging to measure and report at scale. Future

342 work on COVID-19 and other respiratory diseases should address these hypotheses.

343 Our results indicate substantial heterogeneity in transmission from individuals, observed 344 in other studies [37,41,44], and evidenced by the skewed degree distribution for index cases and 345 the estimate of the dispersion parameter k. Our estimate of k (0.27, CI: 0.25–0.28) is within the 346 range of previous estimates for a similar period of the pandemic, with values frequently in the 347 range of 0.1-0.7 [3,7,8,27,45]. Caution should be taken when interpreting k values, which are 348 sensitive to changes in the tails of a distribution, such as superspreaders or individuals that cause 349 no secondary infections. Without robust isolated case finding and follow-up, contact tracing 350 efforts may undercount the number of zeroes, biasing k upwards [46,47]. Alternatively, 351 backwards contact tracing may be susceptible to attachment bias, where infections are 352 preferentially attributed to a known superspreader rather than a separate (known or unknown) 353 transmitter [47]. Additionally, there may be publication bias or more complete contact tracing for 354 large outbreaks with an individual superspreader or with high attack rates [15,47]. These effects 355 would bias k downwards and inflate meta-analysis estimated attack rates across event types. It 356 may also lead to the overestimation of the proportion of index cases that are superspreaders. 357 Without knowledge of the relative impact of these biases, it is challenging to interpret whether k 358 is a true representation of SARS-CoV-2 transmission heterogeneity. To improve inference on 359 individual heterogeneity of transmission from outbreak investigations, we recommend that 360 contact tracing efforts use both backward and forward contact tracing [15,21,48], with sufficient 361 follow-up time to identify non-infecting individuals, and complete reporting of contact tracing 362 efforts (e.g., anonymized line lists with infector-infectee and other demographic information). 363 While our systematic review is the most comprehensive assessment of SARS-CoV-2 364 superspreading to date, a principal limitation of our analysis was the incomplete data available in

365 the published literature. Beyond information provided about the timing and location of events, 366 very few studies reported any demographics of the exposed individuals, their COVID-19 367 vaccination status (once introduced) or history of prior SARS-CoV-2 infection, or the density 368 and amount of time indoors. For individual index cases, some studies reported demographic 369 information and the presence/absence of symptoms, but this atypical. We also experienced 370 difficulty with deducing whether contact tracing was performed for all reported cases in 371 transmission chains, especially for terminal nodes. It was not always clear whether cases did not 372 transmit or whether data were missing due to lack of contact tracing, so these cases had to be 373 omitted from the analysis. Testing and tracing policies likely differed between countries, which 374 would affect the collection of index cases that ended up in our review. For this reason, data on 375 index cases are missing from many countries and transmission chains from some countries may 376 be less complete than others. Similarly, the effectiveness of testing and tracing policies varies 377 across settings (e.g., easier in households than large social gatherings), which affects the 378 completeness of transmission chains and likely which outbreaks get published. There were 379 numerous papers that we reviewed with transmission chains that were simply too incomplete or 380 uncertain for us to extract index case data from them. However, without reporting of testing and 381 tracing policies or the effectiveness of tracing efforts within each paper, or a comprehensive 382 database or systematic review of this information in the literature, these remain as uncertainties 383 that must be addressed with better data.

Another limitation of this review was the wide variation in case detection methods across studies. Not all studies reported the total number of contacts that were tested from events and we assumed in the missing cases that the number tested was the same as number exposed. Our sensitivity analysis, using total exposed contacts for all events as the denominator for attack rates

388 instead of total tested contacts, showed that estimated mean attack rates were consistently lower 389 across event types but the ranking of event types was relatively stable (Supplementary Figure 390 S7). However, some studies reported only symptomatic cases or only performed diagnostic tests 391 (e.g., PCR) on symptomatic individuals, thereby missing all reporting of asymptomatic or 392 pausisymptomatic individuals and any secondary cases produced. These missing contacts may be 393 undercounted for both the numerator (contacts that are infected but asymptomatic) and the 394 denominator (including contacts that are asymptomatic and uninfected), which could move 395 attack rates in either direction. Limiting testing to symptomatic contacts has a more predictable 396 effect on individual case degree distributions, reducing the apparent proportion of individuals 397 that transmit and the total secondary cases among individuals that do transmit. Case 398 ascertainment also likely varied by event setting, contributing additional uncertainty in estimated 399 attack rates. For example, performing contact tracing and testing a greater number of contacts 400 was probably easier in settings with consistent or recorded populations like households, schools, 401 and nursing homes than in large social venues like nightclubs. Differences in estimated attack 402 rates by event type may be less drastic than we observed if case ascertainment could be properly 403 addressed with additional ground truth data, i.e., community asymptomatic testing. 404 Since case detection depends partly on presence of symptoms, some care should be taken 405 in interpreting the finding that superspreaders were more likely to have symptoms than non-406 superspreaders. We performed an additional analysis on the presence of symptoms across

407 different demographic factors reported in papers (see Supplementary Table S6). The only trend

408 we saw was for age, where the presence of symptoms was somewhat higher for older adults (49

409 and older). This may have slightly skewed detection of superspreaders among older adults.

410 However, there were still hundreds of children with symptoms reviewed as index cases, so there

411 were ample opportunities for them to be identified as superspreaders. Therefore, we remain 412 confident in our findings about the rarity of superspreaders among children. However, data from 413 human challenge trials with SARS-CoV-2 have shown that individuals with the highest viral 414 emissions did not have the most severe symptoms, but these super-emitters were also not 415 asymptomatic [41]. These super-emitters, and the majority of superspreaders reported in the 416 literature, tend to have mild to moderate symptoms [36,41]. While the importance of 417 asymptomatic transmission of SARS-CoV-2 should be acknowledged, numerous studies have 418 shown that transmission is more likely from symptomatic individuals compared to completely 419 asymptomatic individuals [18,21,49–51]. However, additional studies that overcome issues of 420 case ascertainment should be done to assess the role of asymptomatic individuals in SARS-CoV-421 2 superspreading.

To improve the field and our understanding of the drivers of heterogeneity in 422 423 transmission, we propose standard and consistent reporting on transmission for all outbreaks, as 424 feasible, including details on the epidemiological context of transmission events and complete 425 line lists of cases following contact tracing, with information on case demographics (age, sex, 426 occupation), diagnosis (presence/absence of symptoms, symptom description, test date and 427 results), the duration of contact tracing, and the total number of contacts and the demographic 428 information for contacts (see Appendix 2). Details on the duration of contact tracing should 429 include the entire time period of case finding and how long cases were followed to detect any 430 secondary cases. We recognize the challenge of collecting, storing, and sharing identifiable data 431 from outbreak investigations while continuing to assure confidentiality and improve trust in the 432 health system. However, developing such a reporting system should be a priority for public 433 health as the information has important inplications for reducing the spread of infectious

434 pathogens.

435 Our comprehensive review found substantial heterogeneity in the transmission of SARS-436 CoV-2, highlighting the settings and individual characteristics that might be most important to 437 target for controlling superspreading. Secondary attack rates were highest in co-living situations 438 where prolonged contact between individuals facilitated transmission, though there was 439 substantial variation in attack rates within similar settings that remained unexplained and could 440 be disentangled in future meta-analyses focused on the relative influence of built environment, 441 social setting, and control measures on transmission. Given the moderate attack rates among 442 minors in school and the rarity of children among superspreaders, interventions targeting these 443 age groups may be less efficient at preventing SARS-CoV-2 superspreading and could be 444 deprioritized in favor of interventions focusing on adults [21,52], especially those with 445 symptoms and individuals with many daily close contacts. Acknowledging that there remain 446 substantial gaps in data that limit our inference about superspreading, we advocate for consistent 447 reporting on infectious disease outbreaks, ideally with detailed line lists, to facilitate knowledge 448 synthesis about transmission patterns and superspreading in the future. Our review only covered 449 the first phase of the pandemic, so important questions remain about whether patterns in attack 450 rates and individual-level transmission still apply to later pandemic phases with significant 451 population-level immunity. Enhanced reporting of outbreak data would expedite such future 452 investigations.

453

#### 454 DATA AVAILABILITY

455 All the data were from publicly available databases. The complete database of extracted456 information from included studies is provided in Appendix 3.

#### 457

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- 461 and agreed to publish as a co-author; the funding agency had no role in study design, data
- 462 collection and analysis, or decision to publish.
- 463

#### 464 **COMPETING INTERESTS**

- 465 The authors declare none.
- 466

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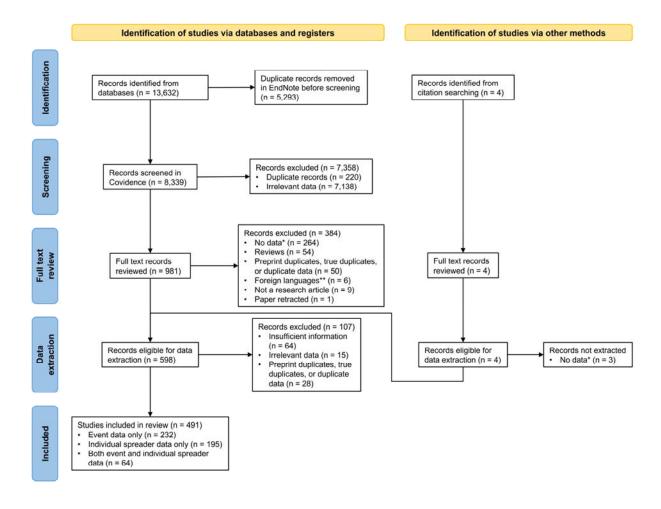
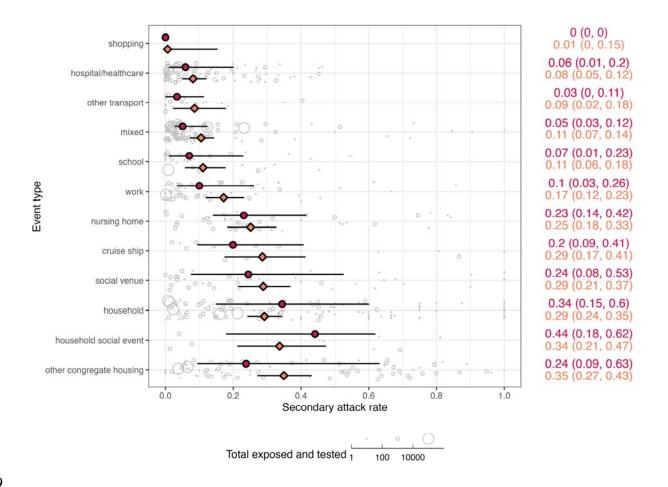
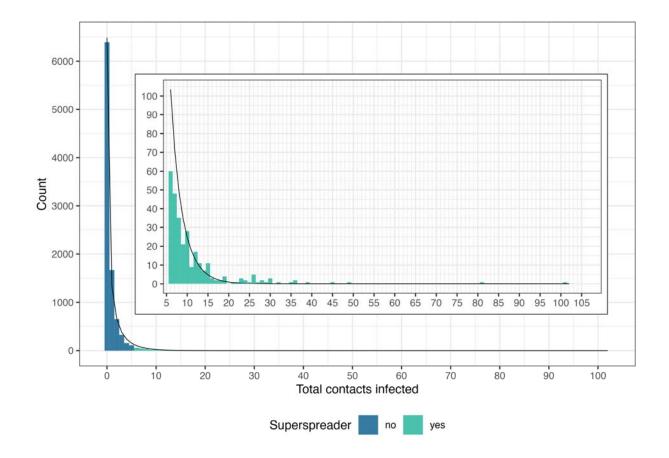


Figure 1. PRISMA flow diagram for the systematic review and meta-analysis of SARS-CoV-2 superspreading reported in the published literature. \*There were 4 types of data that we sought to include: 1) transmission chain; 2) number of index cases, number of contacts, and number of infected contacts; 3) number of index cases and number of infected contacts; or 4) secondary attack rate. \*\*Languages other than Spanish, Chinese, French, Turkish, German, and Portuguese.

588



590 Figure 2. SARS-CoV-2 secondary attack rates across 12 event types occurring between 591 December 2019 and August 2021 reported in the literature across 592 events from 296 studies. 592 Individual event data secondary attack rates are shown as grey bubbles, varying in size according 593 to the total number of individuals exposed and tested from the event. Median secondary attack 594 rate for each event type is shown as red circle with a line representing the interquartile range; 595 values are in red on the right side of the figure. Meta-analysis estimated secondary attack rate for 596 each event type is shown as an orange diamond with a line representing the estimated 95% 597 confidence interval; values are in orange on the right side of the figure. Event types were ranked 598 by increasing estimated mean secondary attack rate along the left axis. 599



600

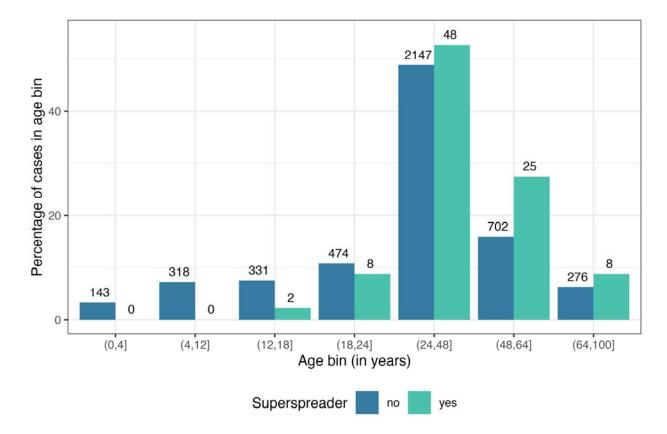
601 Figure 3. Distribution of secondary contacts infected by individual index cases (N = 9,591) for

602 SARS-CoV-2 cases occurring between December 2019 and July 2021 reported in 259 studies.

603 The black line shows the fit of the distribution to the expected negative binomial distribution.

The inset shows a portion of the same data to highlight the distribution of superspreaders (index

605 cases with >5 secondary cases).





608 Figure 4. Comparison of the age distribution of superspreading index cases. The bars show the

609 percentage of individuals within an age bin across superspreaders (index cases with >5

610 secondary cases) and non-superspreaders. Numbers above the bars display the raw totals and

611 percentages are shown in Table 3.

### 613 **Table 1.** Description of variables extracted from papers in the systematic review of SARS-CoV-

### 614 2 superspreading from December 2019 to July 2021.

	• Title
	• Author(s)
	• Publication year, volume, and issue
	• Journal
	• Study location(s)
	o Country
All papers	• Administrative unit(s): state/province, county, city
	• General study time period (e.g., start and end year/month of data collection)
	• Diagnostic testing method (PCR, serology, rapid antigen tests, symptom diagnosis, or mixed)
	Variant name
	• Any reported prevention measures implemented in the event (e.g., masking, social distancing)
	• Number of exposed people with reported demographic characteristics (age, sex) and vaccination
	status
Events	<ul> <li>Type of event/setting (e.g. nursing home residents, household transmission study, or school)</li> <li>Start and end date of event</li> </ul>
	Demographic characteristics (age, sex, occupation)
	○ Age group: $\leq$ 4 years, 5–12 years, 13–18 years, 19–24 years, 25–48 years, 49–64 years, $\geq$ 65
Index case	years
	• Symptom onset (if applicable) and diagnosis dates
	• Symptoms (text descriptions or presence/absence)
	• Real-time PCR cycle threshold (Ct) value

•	Specimen type
•	Clinical outcome (if applicable)
•	Setting of contact (e.g., work, social, and school)

615 **Table 2.** Types of SARS-CoV-2 secondary transmission events occurring between December

616 2019 and August 2021 reported in the literature. Heterogeneity across event types was assessed

617 based on the variance and interquartile range of secondary attack rates. Outlier events were

618 identified for each event type as events that exceeded the estimated upper confidence interval of

619 the meta-analysis estimated SAR for that event type or were greater than 50%.

<b>F</b> 4			Minimum and
Event type	Description of outbreak location	Ν	maximum secondary
· <b>J F</b> ·			attack rate
1	cruise ship or other densely populated watercraft (e.g., fishing vessel, aircraft carrier)	16	0.02, 1
2	transport mode other than ships (e.g., airplane, train, car)	20	0, 0.4
	households, defined as co-living individuals or close contacts who		
3	always meet each other but possibly not living together (e.g., couples	115	0, 1
	in romantic relationship)		
	hospital or healthcare facility, including patients, healthcare workers,		
4	and nursing home workers (if worker data was provided separately	89	0, 0.46
	from nursing home residents)		
5	workplace (e.g., office), including correctional officers and teachers	51	0, 0.86
5	and staff at schools	51	0, 0.80
6	school (data on students only)	32	0, 0.54
7	public social venue (e.g., bar, concert, sporting event)	39	0, 1
8	private social event with members of multiple households (e.g., dinner	12	0.01, 0.81
0	with neighbors or extended family)	12	0.01, 0.81
9	shopping (activities in shops, markets, and department stores)	2	0,0
10	nursing home or long-term care facility (residents only or residents	41	0, 0.84
10	and healthcare workers if not described separately in the paper)	71	0, 0.84

11	congregate housing other than nursing home or long-term care facility	84	0, 1
11	(e.g., homeless shelter, prison, summer camp)	04	0, 1
12	mixed locations, included any combination of the above but not described separately in the paper	91	0, 1
	deserver separately in the paper		

- 620 **Table 3.** Statistical comparisons of SARS-CoV-2 superspreaders to non-superspreaders based on
- 621 features reported in the literature in 259 studies for cases occurring between December 2019 and
- 622 July 2021.

Feature of comparison	Percentage or estimated mean for non- superspreaders (total observations)	Percentage or estimated mean for superspreaders (total observations)	Statistical test results	
Female	40% (N = 4,543)	38% (N = 102)	$\chi^2_1 = 0.09, P = 0.76$	
Presence of symptoms (symptomatic)	76% (N = 841)	89% (N = 70)	$\chi^2_1 = 5.4, P = 0.02$	
Age (in bins)	(N = 4,391)	(N = 91)		
$\leq$ 4 years	3%	0%		
5–12 years	7%	0%		
13–18 years	8%	2%	$\chi^2_{6} = 21.7, P = 0.001$	
19–24 years	11%	9%	$\chi_{6} = 21.7, P = 0.001$	
25–48 years	49%	53%		
49-64 years	16%	27%		
≥65 years	6%	9%		
Age (≥18 years)	84% (N = 4,391)	99% (N = 91)	$\chi^2_1 = 14.1, P < 0.0001$	
Age (in years)	34.8 (N = 4,391)	43.8 (N = 91)	$t_{94.4} = 5.2, P < 0.0001$	
Ct value	26.7 (N = 140)	24.8 (N = 10)	$t_{10.1} = -0.8, P = 0.45$	
Total contacts	36 (N = 471)	79 (N = 59)	$\chi^2_1 = 56.6, P < 0.0001$	

# 623 **Table 4.** Summary statistics describing the distribution of secondary cases among individual SARS-CoV-2 index cases occurring

624 between December 2019 and July 2021 reported in the literature across 259 studies.

Data	Sample size	Percentage with 0 contacts infected	Percentage with 1–5 contacts infected	Percentage with >5 contacts infected	Maximum contacts infected	Estimated mean contacts infected (95% CI)	Estimated overdispersion, <i>k</i> (95% CI)
All rows	9,591	67%	30%	3%	101	0.88 (0.84–0.92)	0.27 (0.25–0.28)
Female	1,866	75%	22%	2%	30	0.63 (0.56–0.71)	0.18 (0.16–0.21)
Male	2,779	74%	24%	2%	101	0.76 (0.69–0.84)	0.17 (0.15–0.19)
Asymptomatic	214	79%	17%	4%	25	0.75 (0.43–1.08)	0.11 (0.07–0.16)
Symptomatic	697	44%	47%	9%	81	2.06 (1.8–2.3)	0.43 (0.36–0.49)
Age ≤4 years	143	90%	10%	0%	3	0.2 (0.07–0.32)	0.09 (0.01–0.17)
Age 5–12 years	318	97%	3%	0%	3	0.04 (0.006–	0.03 (-0.006-0.06)
Age 13–18 years	333	94%	6%	1%	26	0.23 (0.08–0.38)	0.03 (0.01–0.05)
Age 19–24 years	482	88%	10%	2%	19	0.38 (0.23–0.52)	0.06 (0.04–0.09)
Age 25–48 years	2,195	76%	22%	2%	101	0.73 (0.65–0.82)	0.15 (0.13–0.17)
Age 49–64	727	54%	43%	3%	35	1.16 (1.01–1.31)	0.43 (0.36–0.51)
Age ≥65 years	284	58%	39%	3%	18	1 (0.79–1.21)	0.43 (0.3–0.57)

Ct value ≤25	67	42%	52%	6%	12	1.48 (1–1.96)	0.86 (0.31–1.42)
Ct value >25	83	52%	41%	7%	26	1.45 (0.87–2.02)	0.4 (0.2–0.6)
0–10 total contacts	281	49%	48%	2%	9	1.12 (0.93–1.31)	0.83 (0.53–1.14)
11–100 total contacts	195	47%	34%	19%	39	3.1 (2.29–3.91)	0.32 (0.23–0.41)
101–1000 total contacts	54	35%	37%	28%	101	8 (3.92–12.07)	0.28 (0.16–0.4)